

UWA Research Collaboration Awards 2019

Guidelines and Conditions

Key Dates:

Call for Applications:	4 June 2019
Applications Close:	5pm, 2 August 2019
Awards Announced:	October 2019
Funds Distributed:	January 2020

Late applications will not be accepted.

Submission process

Please collate your application form with supporting documentation into one Adobe (.pdf) document named RCA[SurnameGivenName] e.g. RCAJonesDavid and submit to:

submissions-research@uwa.edu.au by **no later than 5pm on 2 August 2019.**

1. Objectives

The UWA Research Collaboration Awards (RCA) have the dual purpose of promoting either international research collaboration or industry research partnerships.

The overall goal of the program is to facilitate collaborations that will lead to long term research alliances. The RCA scheme aims to provide enhanced research opportunities for UWA research staff and students in relation to publications, research grants, industry and international linkages and research training.

University staff are encouraged to submit funding applications for innovative and sustainable programs built around collaborative research. It is expected successful applications will develop industry engagement and/or link the University with the world's leading academic institutions, resulting in high quality indexed outputs, grant collaborations, and collaborative supervision of higher degree students.

Collaborations may involve participants from any industry partner or international institution, however as part of its strategy, UWA has identified a list of priority institutions that should be used as a guide to building linkages [see *Appendix A*]. These institutions were selected using a combination of ranking tables, existing strategic linkages and recommendations by the Research Executive. Applications may also include collaborators from national institutions.

2. Funding and Project Duration

A maximum of \$30,000 may be available for each grant. Successful grants will commence on 1 January 2020 and funds must be used in accordance with the approved budget within the same calendar year.

3. Eligibility

- A researcher may only be named as a Chief Investigator on one application per round
- Undergraduate and postgraduate students are not eligible to be a Chief Investigator.
- The first-named Chief Investigator must hold, at the time of award and for the entire award period, either
 - a full-time or fractional (at least 0.4 FTE) UWA appointment at the time of award; or
 - an adjunct, clinical and honorary appointment, and not be employed by another university.And
 - have no overdue final reports from a previously awarded RCA.
- At least one industry partner or international institution must be named on the application. A participant from these organisations must be named as a Chief Investigator.
 - An industry partner is defined as a private or public company, government department or agency, or non-government organisation which is not a Medical Research Institute affiliated with UWA.
- Early Career Researchers (ECR) is defined as at 31 December 2019, having held their PhD for no more than 5 years from the date of conferral, recognising part time work and career breaks.

4. Application Process

- Please submit the application using the template provided.
- Font size must be Arial 12 point.
- Combine all sections of the application into a single PDF file named RCA[SurnameGivenName] e.g. RCAJonesDavid and submit to submissions-research@uwa.edu.au by the internal deadline.

5. Assessment Process

Selection Criteria:

Applicants will be assessed and ranked on:

- **Proposal** - the proposal identifies how the research activity is significant, novel or innovative and whether it addresses an important research problem - 20%
- **Collaboration** - the proposal aims to build sustainable, long-term industry and/or international collaborations, and demonstrates commitment from all partners - 35%
- **Outputs and sustainability** - the proposal identifies, and is likely to produce measureable outputs (e.g. academic publications) and articulates clear and realistic plans to attract external research funding - 10%.
- **Track Record** - (assessed on ROPE - Research Opportunity and Performance Evidence) - 25%
- **Development** - how this collaboration involves the participation of early career researchers and how it provides training for postgraduate students. – 10%

Applications will be assessed by a committee or committees chaired by the Deputy Vice-Chancellor (Research) or delegate, and include academic staff chosen by the Chair. Applications with an industry collaborator will be assessed by a panel including members with industry experience.

6. Administration and Reporting Requirements

It is the responsibility of the lead CI to ascertain whether it is necessary to obtain ethics committee approval for the proposed research activity and to obtain such approval if required.

Funding will be allocated to successful applicants from January 2020 and **must be expended within the 2020 calendar year**. Unspent funds will be recouped centrally after 31/12/2020.

Recipients will be required to submit a final report within three months of completion of the project or by 31st March 2021 (whichever comes first). Reports will detail all activities undertaken with RCA funding and a PeopleSoft transaction report must be attached in support of grant expenditure.

Final Reports should be emailed to the UWA Research Grants and Contracts Office reporting-research@uwa.edu.au.


A report template is available on the RCA


webpage: <http://www.research.uwa.edu.au/staff/managing/reporting>

Recipients who fail to submit a final report will be deemed ineligible in subsequent funding rounds

Appendix A

Priority institutions for the UWA Research Collaboration Awards include:

	<p>The WUN is a group of 23 research-intensive universities, bringing together the expertise necessary to address issues that impact the planet and its people. RCA funding for work with WUN member institutions can be leveraged by additional funds provided by this network</p>
<p>Chinese University of Hong Kong Maastricht University The University of Auckland The University of Sydney University of Alberta University of Bergen University of Bristol University of Ghana Renmin University of China Tecnológico de Monterrey University College Dublin</p>	<p>University of Cape Town University of Leeds University of Rochester University of Sheffield University of Southampton University of York University of Massachusetts (Amherst) University of Nairobi National Cheng Kung University (NCKU) Universidade Federal de Minas Gerais (UFMG) Zhejiang University</p>

	<p>The MNU is comprised of high-quality research-intensive universities with pride themselves on the quality of the university experience for their students and are recognised for their international practice in teaching and learning.</p>
<p>Dartmouth College Durham University University of Otago</p>	<p>Queen's University University of Tübingen Uppsala University</p>

<p>Strategic Partners</p> <ul style="list-style-type: none"> ❖ Sungkyunkwan University ❖ The Chinese Academy of Science ❖ The Indian Institutes of Technology ❖ The Indian Institute of Science ❖ National University of Singapore ❖ Nanyang Technological University ❖ King Abdullah University of Science and Technology ❖ Spanish National Research Council (Consejo Superior de Investigaciones Científicas) ❖ European Molecular Biology Laboratory (EMBL) ❖ The Chinese Academy of Agricultural Sciences ❖ University of Rennes ❖ Queen's University ❖ Nagoya University ❖ Southwest University (China) 	<p>C9 League</p> <ul style="list-style-type: none"> ❖ Tsinghua University ❖ Peking University ❖ Harbin Institute of Technology (HIT) ❖ University of Science and Technology of China (USTC) ❖ Fudan University ❖ Zhejiang University ❖ Nanjing University ❖ Shanghai Jiao Tong University (SJTU) ❖ Xi'an Jiao Tong University (XJTU)
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ARWU Top 100 International Universities (<http://www.shanghairanking.com/ARWU2018.html>)

Harvard University	University of Maryland, College Park
Stanford University	Utrecht University
University of Cambridge	University of Munich
Massachusetts Institute of Technology (MIT)	University of Zurich
University of California, Berkeley	The University of Queensland
Princeton University	King's College London
University of Oxford	Peking University
Columbia University	University of Helsinki
California Institute of Technology	University of Geneva
University of Chicago	University of Southern California
University of California, Los Angeles	Ghent University
Cornell University	University of Oslo
Yale University	Uppsala University
University of Washington	Ecole Normale Supérieure - Paris
University of California, San Diego	Aarhus University
University of Pennsylvania	University of Groningen
University College London	Zhejiang University
Johns Hopkins University	University of Sydney
Swiss Federal Institute of Technology Zurich	The Australian National University
Washington University in St. Louis	Boston University
University of California, San Francisco	McGill University
The University of Tokyo	Purdue University - West Lafayette
University of Toronto	Rice University
Imperial College London	Leiden University
Northwestern University	Pennsylvania State University - University Park
Duke University	University of Bristol
University of Michigan-Ann Arbor	Stockholm University
University of Wisconsin - Madison	Technion-Israel Institute of Technology
University of Copenhagen	Erasmus University Rotterdam
Rockefeller University	Georgia Institute of Technology
University of North Carolina at Chapel Hill	Mayo Medical School
New York University	Swiss Federal Institute of Technology Lausanne
The University of Edinburgh	Nagoya University
The University of Manchester	University of California, Irvine
Kyoto University	National University of Singapore
Sorbonne University	KU Leuven
University of Minnesota, Twin Cities	McMaster University
The University of Melbourne	Moscow State University
University of Colorado at Boulder	University of Florida
The University of Texas at Austin	University of Pittsburgh, Pittsburgh Campus
University of Illinois at Urbana-Champaign	Carnegie Mellon University
University of Paris-Sud (Paris 11)	Monash University
University of British Columbia	The University of Western Australia
Karolinska Institute	The Ohio State University - Columbus
Tsinghua University	The Hebrew University of Jerusalem
University of California, Santa Barbara	Nanyang Technological University
Heidelberg University	University of Basel
Technical University Munich	University of California, Davis
The University of Texas Southwestern Medical Center at Dallas	University of Goettingen
Vanderbilt University	The University of Texas M. D. Anderson Cancer Center

Appendix B

Guide to Direct Research Costs for UWA Research Collaboration Awards

Budget Items Supported

Budget items that directly support a research programme may be funded from project funding, including:

- access to national and international research and infrastructure facilities including specialist archives, collections and databases;
- access to workshop services linked to and justified explicitly against the project (for example, machine tools and qualified technicians);
- expenditure on field research essential to the project, including technical and logistical support, and travel and accommodation costs;
- expert services of a third party if the services are deemed to be directly related to and necessary for the proposed project. Such services include, but are not limited to:
- language translation services, transcribing services;
- purchase of bibliographical or archival material (electronic or hard copy); and
- data collection and analysis services;
- equipment (and its maintenance) and consumables required for the project. Funding will not be provided for equipment or consumables that are deemed to be for broad general use;
- personnel: salary and on-costs for UWA employed research support staff
- costs associated with the publication and dissemination of project outputs and outreach activity costs;
- specialised software essential to the project;
- travel costs essential to the project: all travel, accommodation, meals and incidentals must be in accordance with UWA travel policies and procedures;
- web hosting and web development specific to the project; and
- workshops, focus groups and conferences (including reasonable hospitality costs such as morning tea, lunch and afternoon tea) that are necessary for the conduct of the proposed research.

Budget Items Not Supported

- infrastructure
- capital works
- CI Salaries
- scholarships
- computers
- per diems (see note on subsistence below)
- costs not directly related to the project e.g. visas, membership fees, maintenance
- bench fees or laboratory access fees
- Fringe Benefits Tax

Notes

1. CIs external to UWA cannot directly receive funding
2. Subsistence will be approved at the discretion of the selection committee, and paid by reimbursement upon presentation of receipts for expenses incurred.