



# **UWA Research Collaboration Awards 2018**

## ***Guidelines and Conditions***

*(Strategic Partners list updated 12 June 2017)*

### **Key Dates:**

|                               |                   |
|-------------------------------|-------------------|
| <b>Call for Applications:</b> | 1 June 2017       |
| <b>Applications Close:</b>    | 5pm, 14 July 2017 |
| <b>Awards Announced:</b>      | September 2017    |
| <b>Funds Distributed:</b>     | January 2018      |

***Late applications will not be accepted.***

### **Submission process**

Please collate your application form with supporting documentation into one Adobe (.pdf) document named RCA[SurnameGivenName] e.g. RCAJonesDavid and submit to:

[submissions-research@uwa.edu.au](mailto:submissions-research@uwa.edu.au) by **no later than 5pm on 14 July 2017.**

## 1. Objectives

The UWA Research Collaboration Awards (RCA) have the dual purpose of promoting either international research collaboration or industry research partnerships.

The overall goal of the program is to facilitate collaborations that will lead to long term research alliances. The RCA scheme aims to provide enhanced research opportunities for UWA research staff and students in relation to publications, research grants, industry and international linkages and research training.

University staff are encouraged to submit funding applications for innovative and sustainable programs built around collaborative research. It is expected successful applications will develop industry engagement and/or link the University with the world's leading academic institutions, resulting in high quality Web Of Science-indexed outputs, grant collaborations, and collaborative supervision of higher degree students.

Applications are sought from all disciplines and \$800,000 is available for allocation in 2018.

Collaborations may involve participants from any industry partner or international institution, however as part of its strategy, UWA has identified a list of priority institutions that should be used as a guide to building linkages [see *Appendix A*]. These institutions were selected using a combination of ranking tables, existing strategic linkages and recommendations by the Research Executive. Applications may also include collaborators from national institutions.

## 2. Funding

A maximum of \$30,000 may be available for each grant. Applications must include an itemised budget with clear justification for all costs. Successful grants must be used in accordance with the approved budget within the 2018 calendar year.

- Direct research costs will be considered in accordance with items listed in *Appendix B* [\admin-serv03\RESEARCH\grants\UWA Internal Schemes\RCA\2017 Round 9\Guidelines and application form\RCA Combined NHMRC - ARC Direct Research Costs.pdf](#). The following items will not be funded:
  - Infrastructure
  - Capital Works
  - CI Salaries
  - Scholarships
  - Computers
  - Per Diems (*see note on subsistence below*)
  - Costs not directly related to the project e.g. visas, membership fees, maintenance
  - Bench fees or laboratory access fees
  - Fringe Benefits Tax (FBT)
  - CIs external to UWA cannot directly receive funding
- Subsistence shall be approved at the discretion of the Selection Committee, and paid by reimbursement upon presentation of receipts for expenses incurred.
- Projects already partly funded from other sources should clearly indicate the extent of funds and their allocation in the context of those activities for which RCA funding is requested.

### 3. Eligibility

- The first-named Chief Investigator must hold a full-time or fractional (at least 0.4 FTE) UWA appointment at the time of award, and for the entire award period. The first-named CI is responsible for the award including expenditure of the grant funds, the delivery of quality project outcomes and the submission of the final report. Other chief investigators must take significant intellectual responsibility for the conduct of the project and must have the capacity to make a serious commitment to carrying out the project.
- Adjunct, clinical and honorary appointees are eligible to apply as first-named CI, provided that they hold their UWA affiliation for the full term of the grant and are not employed by another university.
- The first named Chief Investigator is not eligible to apply if a final report is outstanding for a previously awarded RCA.
- Undergraduate and postgraduate students are not eligible to be a Chief Investigator.
- At least one industry partner or international institution must be named on the application. A participant from these organisations must be named as a CI.
  - An industry partner is defined as a private or public company, government department or agency, or non-government organisation which is not a Medical Research Institute affiliated with UWA.
- **Early Career Researchers (ECR)** are encouraged to submit ECR-only applications. All CIs must meet the definition of an ECR. An ECR is defined as at 31 December 2017, having held their PhD for no more than 7 years from the date of conferral.

A case may be presented to the Deputy Vice Chancellor (Research), if the conferral date of a potential applicant's PhD, or equivalent research qualification, lies more than 7 years in the past and the applicant is claiming a career disruption based on illness, carer or family responsibilities. A letter stating the nature and length of the career disruption must be submitted to the Research Grants Office **three weeks prior to the closing date**.

### 4. Limit on number of grants applied for/held

- The first-named Chief Investigator is not eligible to apply:
  - if he/she has been awarded RCA funding in the previous two rounds **or**
  - If he/she has been awarded RCA funding in 2015 or prior that has been extended and is still active at the time of application.
- Each researcher may only be named as a Chief Investigator on one application per round.

### 5. Application Process

- Please submit the application using the template provided.
- Font size must be Arial 11 point.
- Combine all sections of the application into a single PDF file named RCA[SurnameGivenName] e.g. RCAJonesDavid and submit to [submissions-research@uwa.edu.au](mailto:submissions-research@uwa.edu.au) by the internal deadline.

The research proposal should include the following:

1. **Proposal** - 1 page
2. **Collaboration** - 1 page
3. **Outputs and sustainability** - 1 page
4. **Track Record** - 2 pages per CI
5. **Development** - 1 page
6. **Timeline** - 1 page
7. **Budget** - 1 pages
8. **Budget Justification** - 1 page.
9. **References** - 1 page
10. **Letter of Support** - attach as required

## 6. Assessment Process

### Selection Criteria:

Applicants will be assessed and ranked on:

- **Proposal** - the proposal identifies how the research activity is significant, novel or innovative and whether it addresses an important research problem - 20%
- **Collaboration** - the proposal aims to build sustainable, long-term industry and/or international collaborations, and demonstrates commitment from all partners - 40%
- **Outputs and sustainability** - the proposal identifies, and is likely to produce measureable outputs (e.g. academic publications) and articulates clear and realistic plans to attract external research funding - 10%.
- **Track Record** - 30% (assessed on ROPE - Research Opportunity and Performance Evidence)
- **Development** - Applicants who choose to promote or involve early career researchers (ECRs) and/or postgraduate students will be given preference at the margin of funding.

Applications will be assessed by a committee or committees chaired by the Deputy Vice-Chancellor (Research) or delegate, and include academic staff chosen by the Chair. Applications with an industry collaborator will be assessed by a panel of industry experts.

## 7. Administration and Reporting Requirements

It is the responsibility of the lead CI to ascertain whether it is necessary to obtain ethics committee approval for the proposed research activity and to obtain such approval if required.

Funding will be allocated to successful applicants from January 2018 and **must be expended within the 2018 calendar year**. Unspent funds will be recouped centrally after 31/12/2018.

Recipients will be required to submit a final report within three months of completion of the project or by 31<sup>st</sup> March 2019 (whichever comes first). Reports will detail all activities undertaken with RCA funding and a PeopleSoft transaction report must be attached in support of grant expenditure.

Final Reports should be emailed to the UWA Research Grants Office [reporting-research@uwa.edu.au](mailto:reporting-research@uwa.edu.au).

A report template is available on the RCA webpage:

<http://www.research.uwa.edu.au/staff/managing/reporting>


**Recipients who fail to submit a final report will be deemed ineligible in subsequent funding rounds**

## Appendix A

### Priority institutions for the UWA Research Collaboration Awards include:

Academic Ranking of World Universities Top 100 - <http://www.shanghairanking.com/ARWU2016.html>

|  |  |
|--|--|
|  |  |
| <i>Chinese University of Hong Kong</i>   | <i>University of Cape Town</i>               |
| <i>Maastricht University</i>   | <i>University of Leeds</i>                   |
| <i>The University of Auckland</i>  | <i>University of Rochester</i>               |
| <i>The University of Sydney</i>  | <i>University of Sheffield</i>               |
| <i>University of Alberta</i>   | <i>University of Southampton</i>             |
| <i>University of Bergen</i>  | <i>University of York</i>                    |
| <i>University of Bristol</i>   | <i>University of Basel</i>                   |
| <i>University of Ghana</i>   | <i>University of Massachusetts (Amherst)</i> |

|  |                            |                               |
|--|----------------------------|-------------------------------|
|  | <i>Dartmouth College</i>   | <i>Queen's University</i>     |
|  | <i>Durham University</i>   | <i>University of Tübingen</i> |
|  | <i>University of Otago</i> | <i>Uppsala University</i>     |

|  |   |
|--|---|
| <b>Strategic Partners</b>  | <b>C9 League</b>  |
| ❖ <i>The Chinese Academy of Science</i>  | ❖ <i>Tsinghua University</i>                                  |
| ❖ <i>The Indian Institutes of Technology</i>   | ❖ <i>Peking University</i>                                    |
| ❖ <i>The Indian Institute of Science</i>   | ❖ <i>Harbin Institute of Technology (HIT)</i>                 |
| ❖ <i>National University of Singapore</i>  | ❖ <i>University of Science and Technology of China (USTC)</i> |
| ❖ <i>Nanyang Technological University</i>  | ❖ <i>Fudan University</i>                                     |
| ❖ <i>King Abdullah University of Science and Technology</i>                                  | ❖ <i>Zhejiang University</i>                                  |
| ❖ <i>Spanish National Research Council (Consejo Superior de Investigaciones Científicas)</i> | ❖ <i>Nanjing University</i>                                   |
| ❖ <i>European Molecular Biology Laboratory (EMBL)</i>  | ❖ <i>Shanghai Jiao Tong University (SJTU)</i>                 |
| ❖ <i>The Chinese Academy of Agricultural Sciences</i>  | ❖ <i>Xi'an Jiao Tong University (XJTU)</i>                    |
| ❖ <i>University of Rennes</i>  |   |
| ❖ <i>Sungkyunkwan University</i>   |   |

## UWA Priority Collaborators - ARWU Top 100 International Universities

Harvard University  
Stanford University  
University of California, Berkeley  
University of Cambridge  
Massachusetts Institute of Technology (MIT)  
Princeton University  
University of Oxford  
California Institute of Technology  
Columbia University  
University of Chicago  
Yale University  
University of California, Los Angeles  
Cornell University  
University of California, San Diego  
University of Washington  
Johns Hopkins University  
University College London  
University of Pennsylvania  
Swiss Federal Institute of Technology Zurich  
The University of Tokyo  
University of California, San Francisco  
The Imperial College of Science, Technology and Medicine  
University of Michigan-Ann Arbor  
Washington University in St. Louis  
Duke University  
Northwestern University  
University of Toronto  
University of Wisconsin - Madison  
New York University  
University of Copenhagen  
University of Illinois at Urbana-Champaign  
Kyoto University  
University of Minnesota, Twin Cities  
University of British Columbia  
The University of Manchester  
University of North Carolina at Chapel Hill  
Rockefeller University  
University of Colorado at Boulder  
Pierre and Marie Curie University - Paris 6  
The University of Melbourne  
The University of Edinburgh  
University of California, Santa Barbara  
The University of Texas Southwestern Medical Center at Dallas  
Karolinska Institute  
The University of Texas at Austin  
University of Paris-Sud (Paris 11)  
Heidelberg University  
Technical University Munich  
University of Southern California  
King's College London  
University of Munich  
University of Maryland, College Park  
University of Geneva  
University of Zurich  
The University of Queensland  
University of Helsinki  
University of Bristol  
Tsinghua University  
University of California, Irvine  
Uppsala University  
Vanderbilt University  
Ghent University  
McGill University  
Purdue University - West Lafayette  
Aarhus University  
Utrecht University  
University of Oslo  
Carnegie Mellon University  
Technion-Israel Institute of Technology  
University of Pittsburgh, Pittsburgh Campus  
Peking University  
Nagoya University  
Rice University  
University of Groningen  
Boston University  
University of California, Davis  
Pennsylvania State University - University Park  
The Australian National University  
Monash University  
The Ohio State University - Columbus  
Stockholm University  
University of Sydney  
McMaster University  
National University of Singapore  
University of California, Santa Cruz  
Mayo Medical School  
Ecole Normale Supérieure - Paris  
Moscow State University  
The Hebrew University of Jerusalem  
Brown University  
University of Florida  
Swiss Federal Institute of Technology Lausanne  
Georgia Institute of Technology  
KU Leuven  
Leiden University  
Osaka University  
Rutgers, The State University of New Jersey - New Brunswick  
The University of Texas M. D. Anderson Cancer Center  
The University of Western Australia  
University of Utah

## Appendix B

### Guide to Direct Research Costs for UWA Research Collaboration Awards

The following DRCs has been compiled from ARC and NHMCR guidelines for DRCs

#### **Budget Items Supported**

Budget items that directly support a research programme may be funded from project funding, including:

- a. access to national and international research and infrastructure facilities including specialist archives, collections and databases;
- b. access to Workshop Services linked to and justified explicitly against the Project (for example, machine tools and qualified technicians);
- c. expenditure on Field Research essential to the Project, including technical and logistical support, and travel and accommodation costs;
- d. expert services of a third party if the services are deemed to be directly related to and necessary for the proposed Project. Such services include, but are not limited to:
  - o language translation services, transcribing services;
  - o purchase of bibliographical or archival material (electronic or hard copy); and
  - o data collection and analysis services;
- e. equipment (and its maintenance) and consumables required for the Project. Funding will not be provided for equipment or consumables that are deemed to be for broad general use;
- f. personnel: for example, research assistants, technicians and laboratory attendants. Salary support must be requested at an appropriate salary level for the Administering Organisation, including 30 per cent on-costs. h
- g. publication and dissemination of Project outputs and outreach activity costs;
- h. specialised software essential to the Project;
- i. travel costs essential to the Project: all travel, accommodation, meals and incidentals must be in accordance with UWA travel policies and procedures; web hosting and web development specific to the Project; and
- j. workshops, focus groups and conferences (including reasonable hospitality costs such as morning tea, lunch and afternoon tea) that are necessary for the conduct of the proposed research.